

Dynamical Instability of Holographic QCD at Finite Baryon Density

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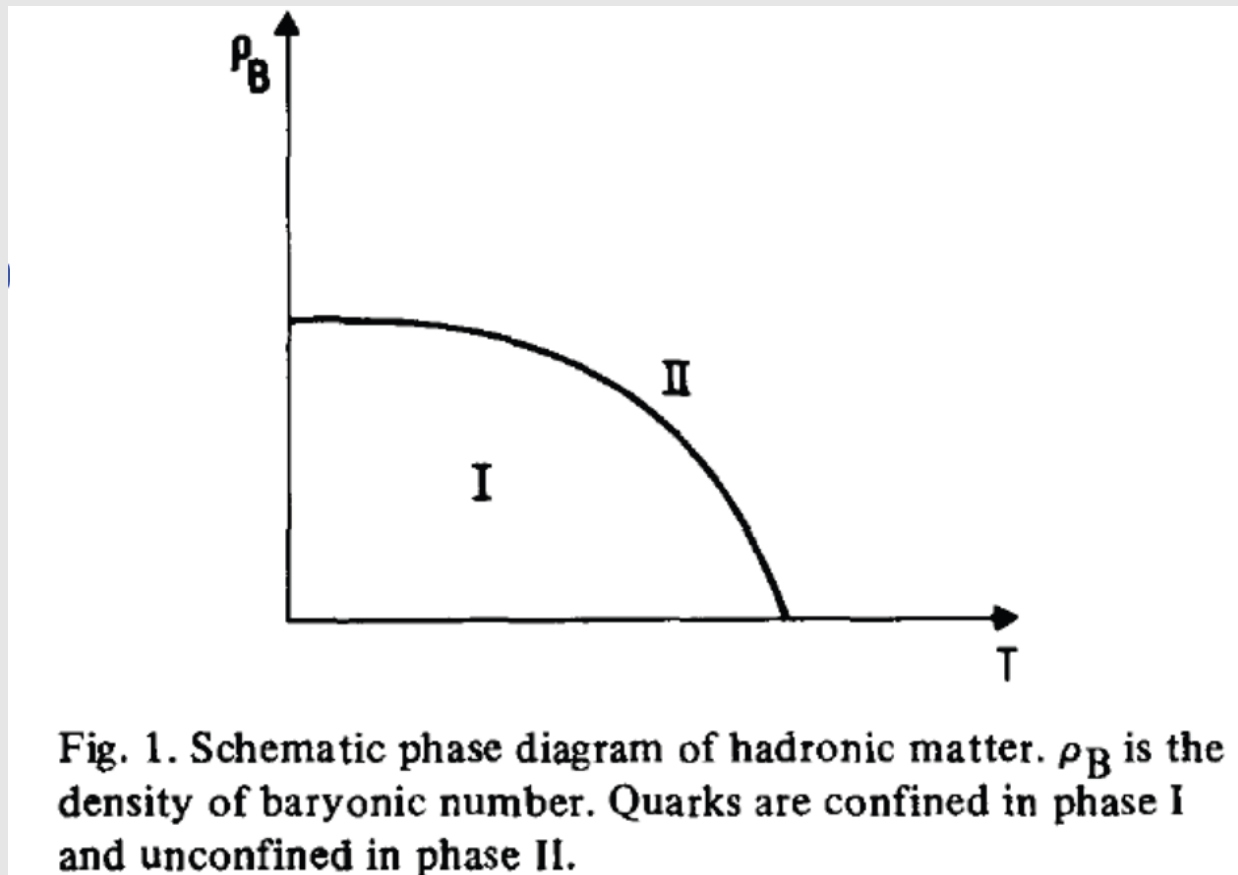
*Based on arXiv: 1004.0162
by W-Y Chuang, S-H D, S Kawamoto, F-L Lin & C-P Yeh*

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Motivation

QCD phase diagram: the first appearance

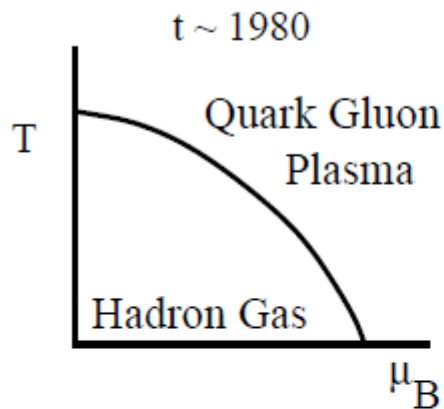
[Cabibbo and Parisi '75]



QCD phase diagram: the evolution

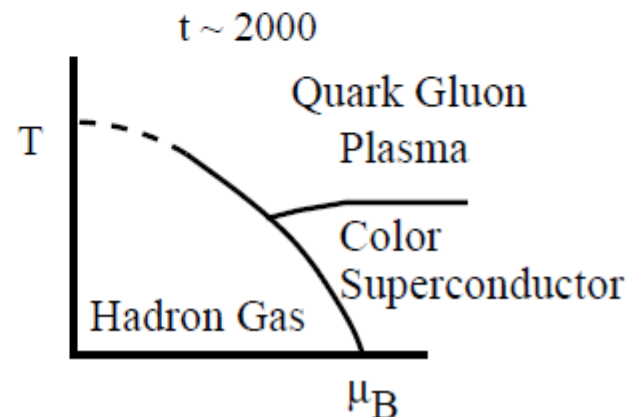
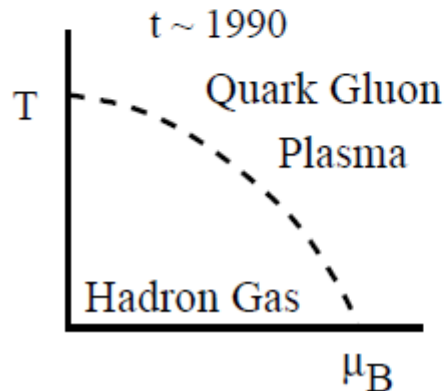
[McLerran, hep-ph/0202025]

The Evolving QCD Phase Transition



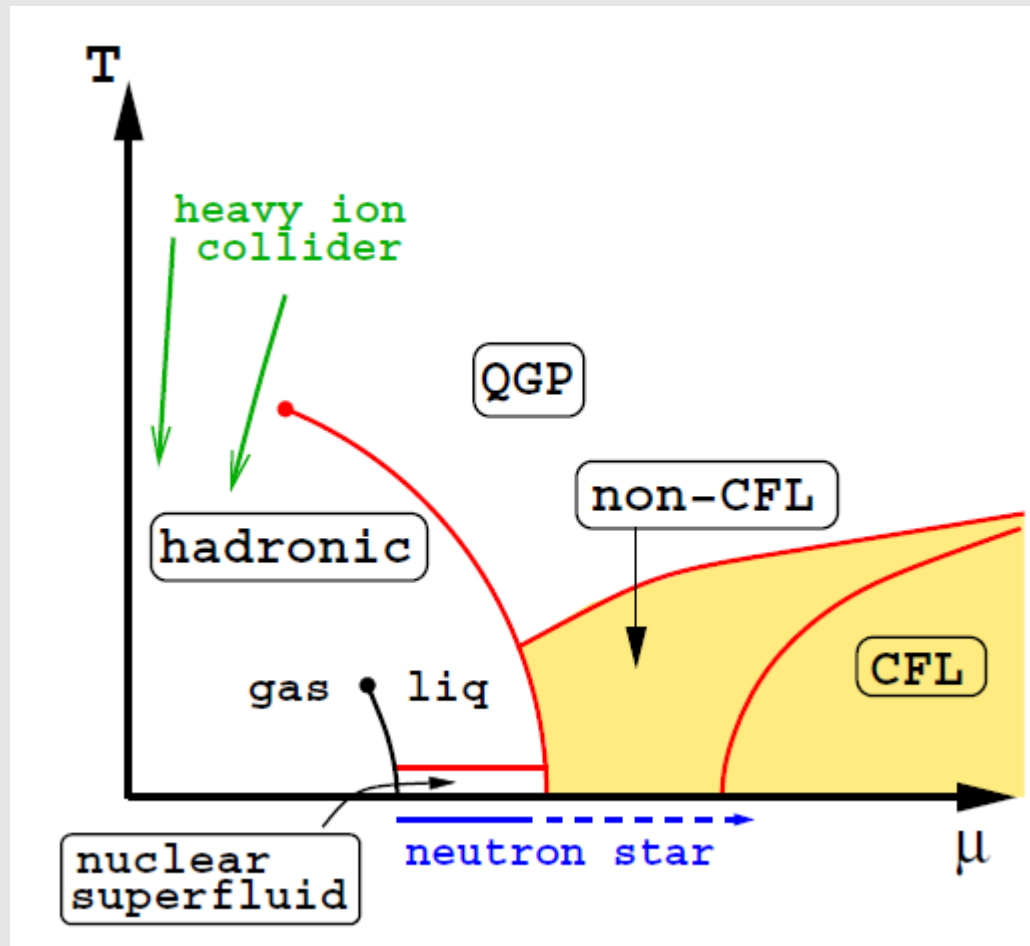
The ground state of cold, very dense QCD might be color superconductor!

But as for the not-so-dense regime.....???



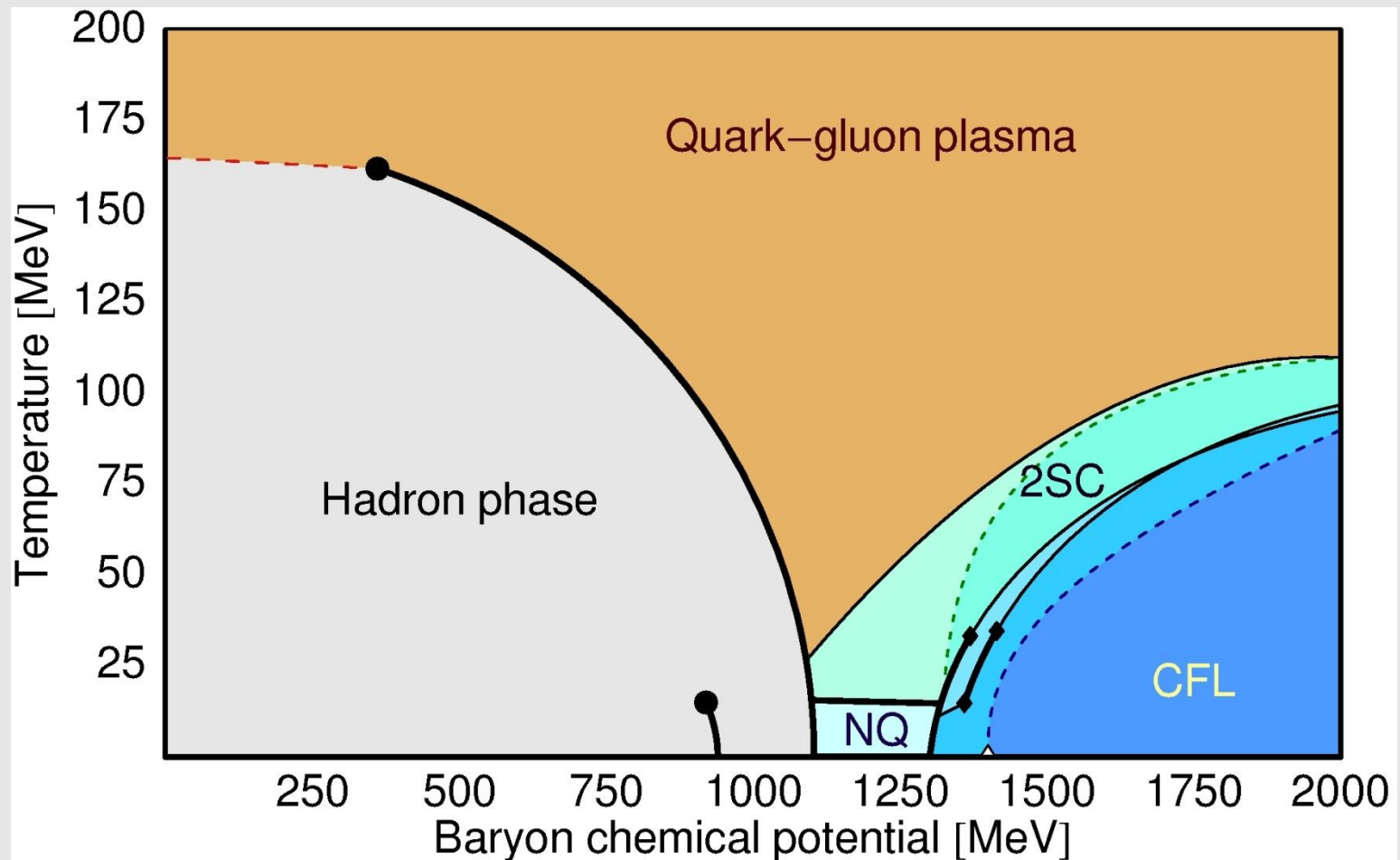
Real QCD phase diagram I

[Alford *et al.*, 0709.4635]



Real QCD phase diagram II

[Shovkovy *et al.*, hep-ph/0503184]



For large N_c QCD at low T and high density

At the limit $N_c \rightarrow \infty$ with fixed $\lambda = g^2 N_c$, the color superconductivity is suppressed due to color non-singlet quark “Cooper pair”:

$$\langle \psi^T \psi \rangle \propto e^{-\text{Const} \frac{N_c}{\lambda}}$$

\Rightarrow No color superconducting or CFL phase at high density!

What can we see at $N_c \rightarrow \infty$??

Chiral density wave (DGR Instability)

[Deryagin, Grigoriev, and Rubakov '92]

In the perturbative regime $g^2 N_c \ll 1$,

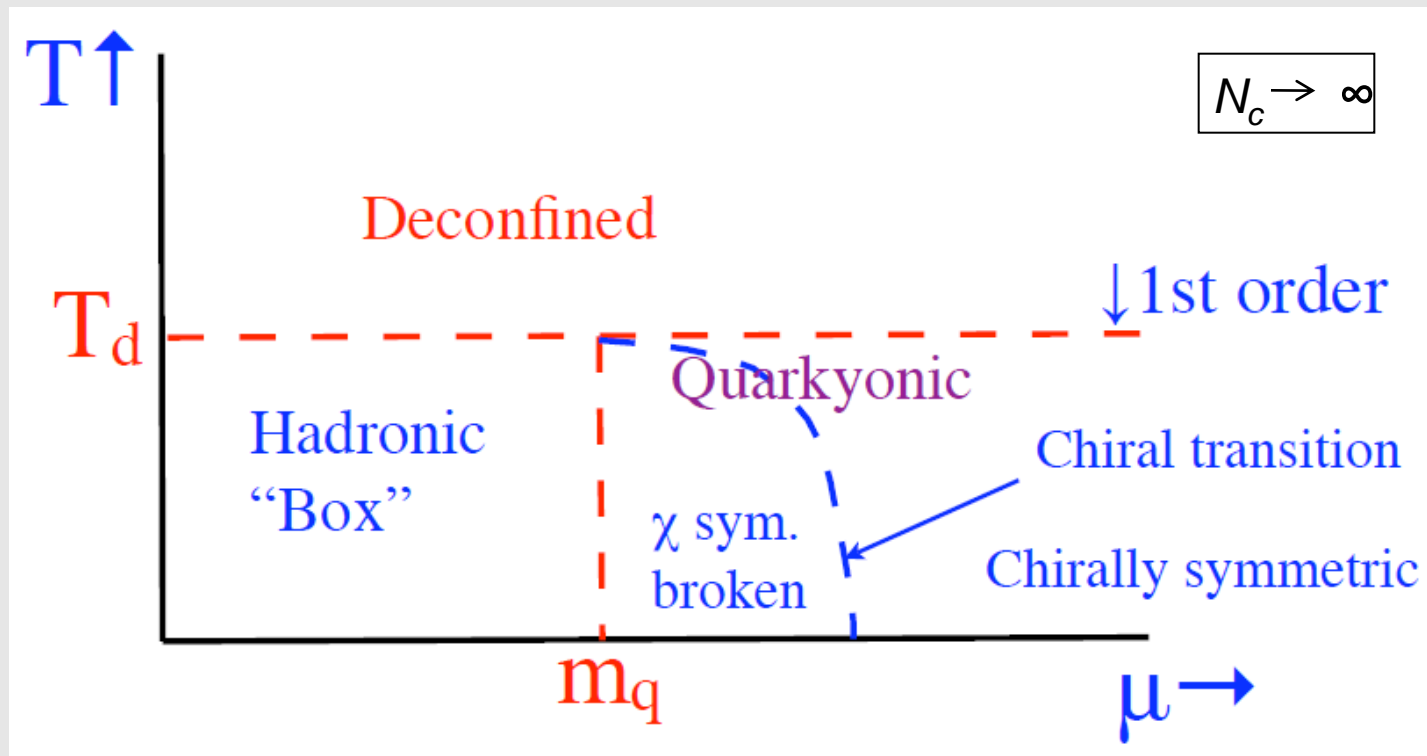
$$\langle \bar{\psi}(x) \psi(y) \rangle \propto e^{i\mathbf{p} \cdot (\mathbf{x} + \mathbf{y})} F(x - y) \quad \text{with} \quad F(0) \propto e^{-\frac{c}{\sqrt{\lambda}}}$$

- Color singlet condensate, dominating the $N_c \rightarrow \infty$ limit.
- spatially modulated chiral density wave
- Instability of the Fermi surface against formation of the chiral density wave

Or

Quarkyonic phase??

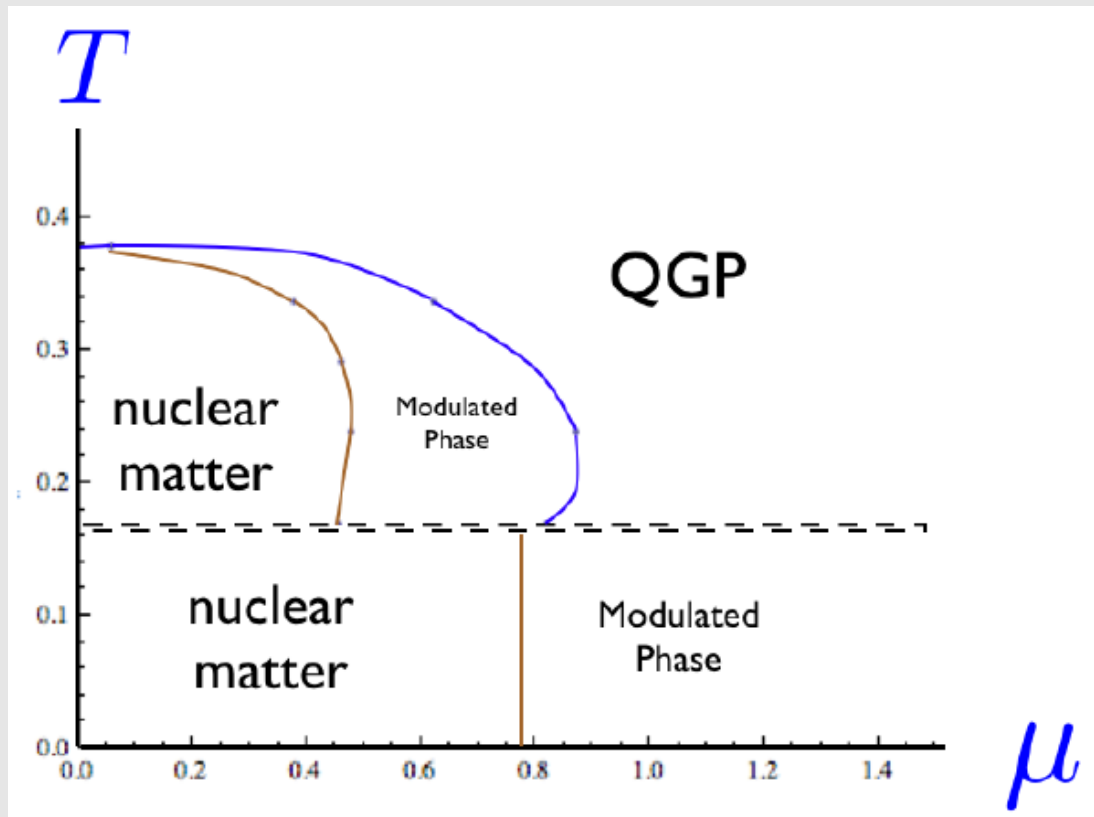
[McLerran & Pisarski, 0706.2191; Kojo *et al.*, 0912.3800]



(Plot adapted from Pisarski's talk „Phase Diagram of QCD at large N_c ” in 2007)

Question to answer in today's talk:

What is the phase at finite density in the picture of holographic QCD?
Is there any dynamical instability?

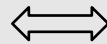


Holographic QCD

Gravity/Gauge Theory correspondence

- A map between gravity and gauge theory

Large N gauge theory
In d-dim spacetime



Classical gravitational theory
In (d+1)-dim spacetime

- The best developed example

N=4 Super Yang-Mills $\lambda = g_{YM}^2 N$ $\lambda / 4\pi N_C$ \mathcal{O} Δ $SO(2,4) \times SO(6)$

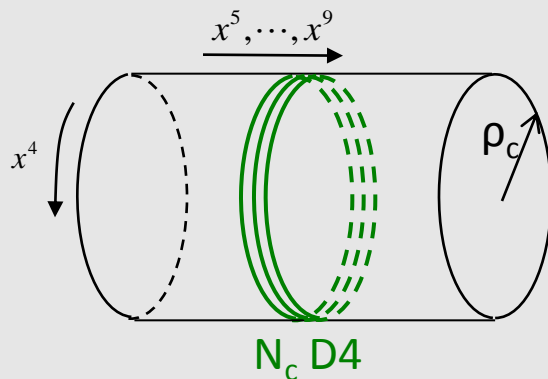
String theory in $AdS_5 \times S^5$ R^4 / α'^2 g_s ϕ m $SO(2,4) \times SO(6)$

Prescription:

$$\langle e^{\int d^4x \phi_0(\vec{x}) \mathcal{O}(\vec{x})} \rangle_{CFT} = \mathcal{Z}_{string} \left[\phi(\vec{x}, z) \Big|_{z=0} = \phi_0(\vec{x}) \right]$$

Witten's Idea of Holographic Realization of 4d pure Yang-Mills

Starting from $N=2$ supersymmetric gauge theory on N_c D4-branes (5d) compactified on S^1 with radius ρ_c :



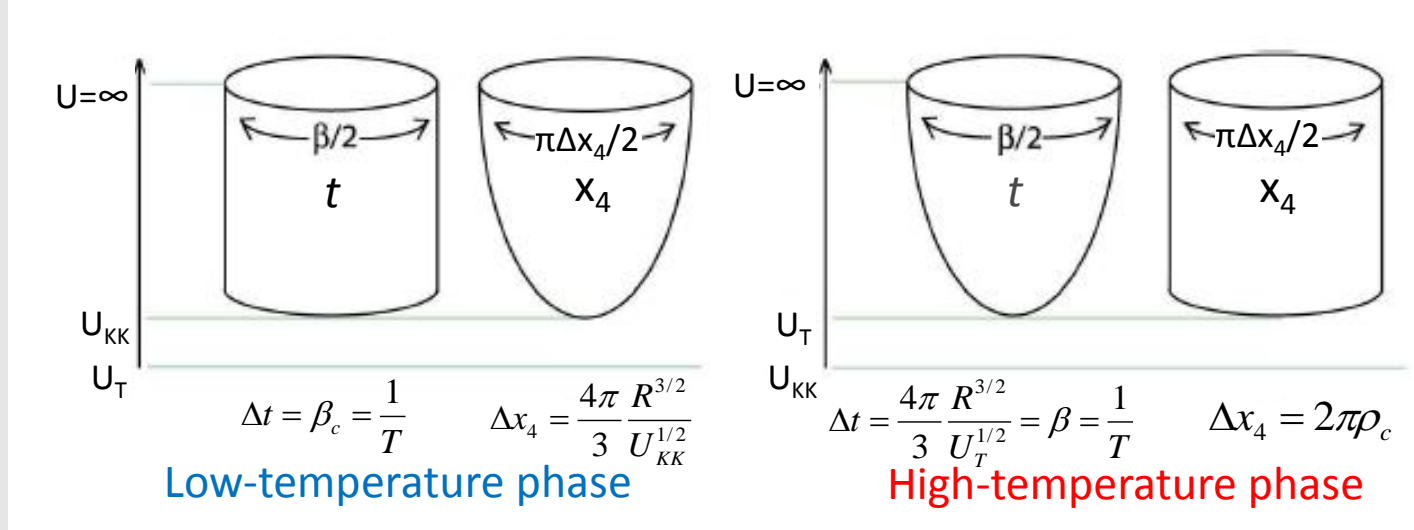
N_c D4: $(x^0 \ x^1 \ x^2 \ x^3 \ x^4)$
(Before taking the weak coupling limit)

Taking antiperiodic boundary condition for the fermions to **break SUSY**:

- Fermions mass $\sim 1/\rho_c$ (tree level)
- scalars mass \sim 1-loop effect

At scale \ll compactification radius ρ_c , the effective theory is **4d pure QCD**.

Dual geometry of thermal gauge theory (in the near horizon limit of the D4-branes)

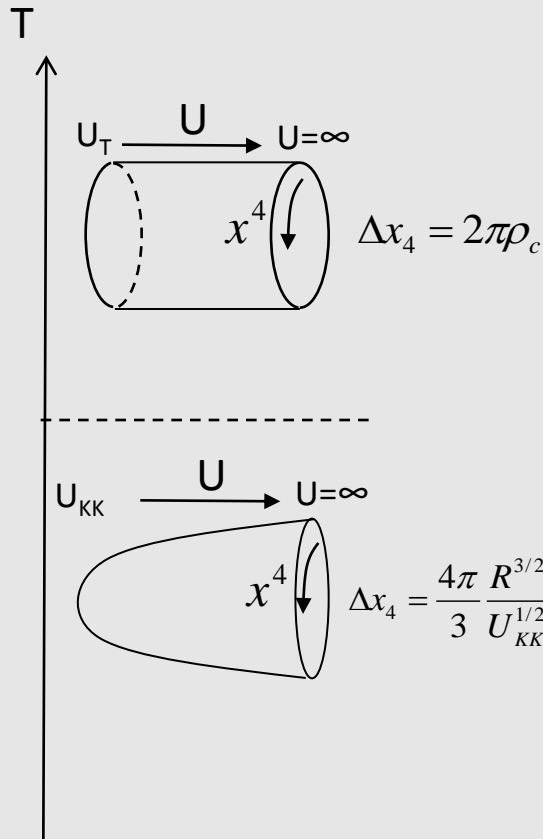


Low-temperature phase: $ds^2 = \left(\frac{U}{R}\right)^{\frac{3}{2}} \left(dt^2 + dx_i^2 + f(U)dx_4^2\right) + \left(\frac{R}{U}\right)^{\frac{3}{2}} \left(\frac{dU^2}{f(U)} + U^2 d\Omega_4^2\right), \quad f(U) = 1 - \frac{U_{KK}^3}{U^3}$

High-temperature phase: $ds^2 = \left(\frac{U}{R}\right)^{\frac{3}{2}} \left(f(U)dt^2 + dx_i^2 + dx_4^2\right) + \left(\frac{R}{U}\right)^{\frac{3}{2}} \left(\frac{dU^2}{f(U)} + U^2 d\Omega_4^2\right), \quad f(U) = 1 - \frac{U_T^3}{U^3}$

$$R^3 = \pi g_s N_c l_s^3,$$

Bulk thermal transition: (analogous to Hawking-Page transition)



High-temperature phase:

$$ds^2 = \left(\frac{U}{R}\right)^{\frac{3}{2}} \left(f(U)dt^2 + dx_i^2 + dx_4^2\right) + \left(\frac{R}{U}\right)^{\frac{3}{2}} \left(\frac{dU^2}{f(U)} + U^2 d\Omega_4^2\right), \quad f(U) = 1 - \frac{U_T^3}{U^3}$$

$$\Delta t = \frac{4\pi}{3} \frac{R^{3/2}}{U_T^{1/2}} = \beta = \frac{1}{T}$$

Quark-anti-quark potential decays with distance:

\Rightarrow **deconfined phase**

Low-temperature phase:

$$ds^2 = \left(\frac{U}{R}\right)^{\frac{3}{2}} \left(dt^2 + dx_i^2 + f(U)dx_4^2\right) + \left(\frac{R}{U}\right)^{\frac{3}{2}} \left(\frac{dU^2}{f(U)} + U^2 d\Omega_4^2\right), \quad f(U) = 1 - \frac{U_{KK}^3}{U^3}$$

$$\Delta t = \beta_c = \frac{1}{T}$$

Quark-anti-quark potential grows with distance:

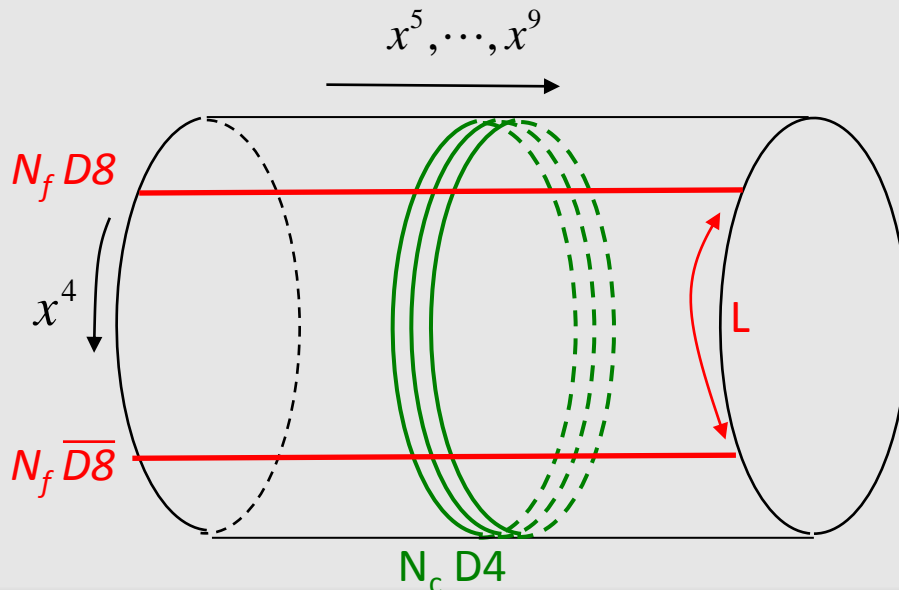
\Rightarrow **confined phase**

Sakai-Sugimoto (SS) Holographic QCD Model:

adding quarks by introducing N_f D8 and N_f $\overline{\text{D8}}$ -brane probes: ($N_f \ll N_c$)

N_c D4	0	1	2	3	(4)					
N_f D8/ $\overline{\text{D8}}$	0	1	2	3		5	6	7	8	9

Picture before taking the weak coupling limit



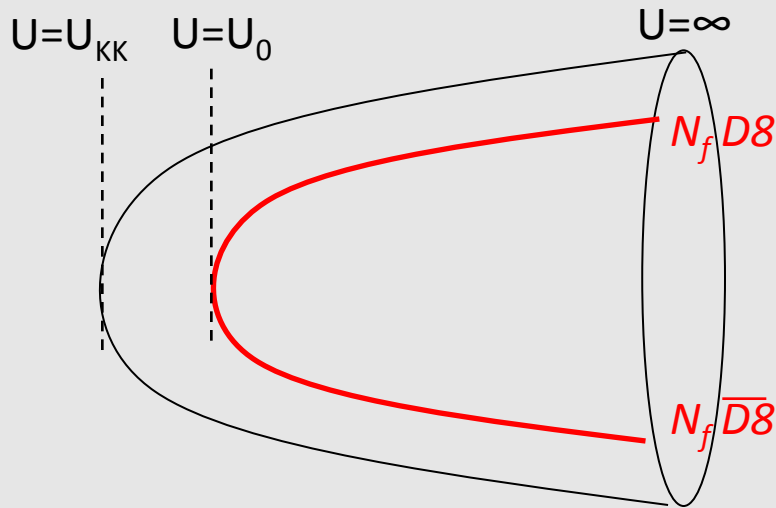
4-8 strings: chiral quark

4- $\overline{8}$ strings: anti-chiral quark

Massless $U(N_c)$ QCD in 4d with N_f flavors:

$$U(N_c) \times U(N_f)_L \times U(N_f)_R$$

- Supergravity dual (weak coupling limit) of **confined phase**



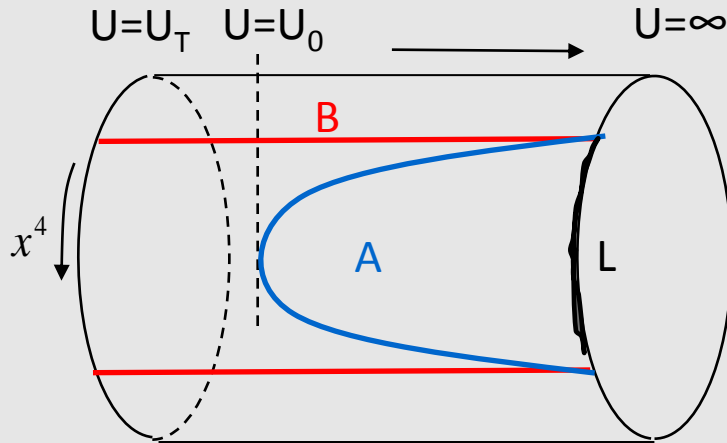
D8- and $\overline{D8}$ -branes are embedded in the cigar-shaped background

D8- and $\overline{D8}$ -branes are smoothly connected at $U_0 \Rightarrow$ **Chiral symmetry is broken**

$$U(N_f)_L \times U(N_f)_R \rightarrow U(N_f)_{\text{diag}}$$

In which $U(1)_B$ corresponds to the conserved number of quarks

- Supergravity dual (weak coupling limit) of **deconfined phase**



There are two D8- $\overline{\text{D8}}$ pair configurations for certain T and L:

A: chiral symmetry broken

B: Chiral symmetry restored (QGP)

The thermodynamically favored configuration carry lower free energy.

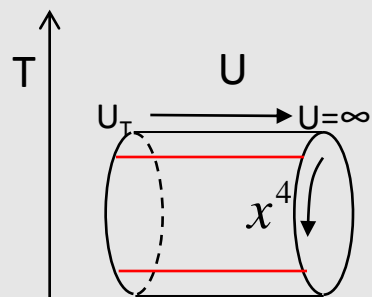
Phase transition:

As T increases, A \longrightarrow B. In this case chiral symmetry restoration happens above deconfinement temperature

[Aharony *et al.*, hep-th/0604161]

As asymptotic separation $L > 0.97\rho_c$, deconfinement coincides with χ -phase transition.

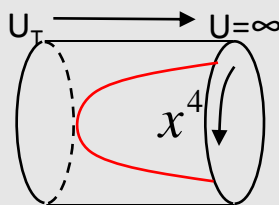
Bulk thermal transition in SS model:



$$\Delta x_4 = 2\pi\rho_c$$

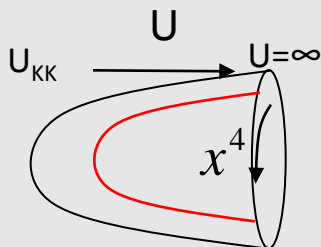
Deconfined phase, restored chiral symmetry (QGP)

$$\Delta t = \frac{4\pi}{3} \frac{R^{3/2}}{U_T^{1/2}} = \beta = \frac{1}{T}$$



$$\Delta x_4 = 2\pi\rho_c$$

Deconfined phase, broken chiral symmetry



$$\Delta x_4 = \frac{4\pi}{3} \frac{R^{3/2}}{U_{KK}^{1/2}}$$

Confined phase, broken chiral symmetry

Sakai-Sugimoto Model with Finite Baryon Density

$S_{D8} = S_{DBI} + S_{CS}$. The S_{DBI} part gives rise to 4d meson spectrum. The S_{CS} is given by

$$S_{CS} = T_8 \int_{D8} C_3 \wedge \text{Tr} \exp(2\pi\alpha' \mathcal{F}) = T_8 \int_{D8} C_3 \wedge \text{Tr} (2\pi\alpha' \mathcal{F})^3 = \frac{N_c}{24\pi^2} \int_{M_4 \times R} \omega_5(\mathcal{A})$$

In general

$$\omega_5(\mathcal{A}) = \text{tr}(\mathcal{A} \mathcal{F}^2 - \frac{i}{2} \mathcal{A}^3 \mathcal{F} - \frac{1}{10} \mathcal{A}^5)$$

If we expand the $U(N_f)$ gauge field \mathcal{A} into $SU(N_f)$ part A and $U(1)$ part \hat{A} :

$$\mathcal{A} = A^a T^a + \frac{1}{\sqrt{2N_f}} \hat{A}$$

For $N_f=2$, by solving the full YM+CS e.o.m of D8, one finds an instanton solution

$$\hat{A} = A_0, \quad A^a = (A^a)_{1,2,3,U} \quad \text{with} \quad N_b = \frac{1}{32\pi^2} \int d^3x dU \text{tr}(F \wedge F)$$

such that $S_{CS} = \frac{N_c}{24\pi^2} \int_{M_4 \times R} A_0 \text{tr} F^2$, i.e $U(1)$ gauge field is sourced by the instanton.

- Instanton as Baryon in SS model

The instanton sourcing U(1) electric gauge potential is regarded as a baryon by identifying it with D4-branes wrapping S^4 .

Applying Witten's proposal in [hep-th/9805112](#), the RR field $F_{(4)}$ charges up the D4-branes (wrapping S^4) under U(1) via

$$\frac{1}{2\pi} \int_{t \times S^4} A_0 \wedge F_{(4)} = N_c \int A_0$$

$\Rightarrow N_c$ Strings attaching the wrapped D4 and probe D8 (in SS model)

\Rightarrow Baryonic vertex on D8

For this configuration to be stable, wrapped D4 must be attracted to D8

\Rightarrow Instanton on the D8 worldvolume

The CS term induces [\[Domokos & Harvey, 0704.1604; Nakamura *et al.*, 0911.0679\]](#) **dynamic instability** in holographic QCD, which we'll see later.

- The D4-instanton profile in our case:

The instanton locates at $U=U_c$ and smeared along (x_1, x_2, x_3) :

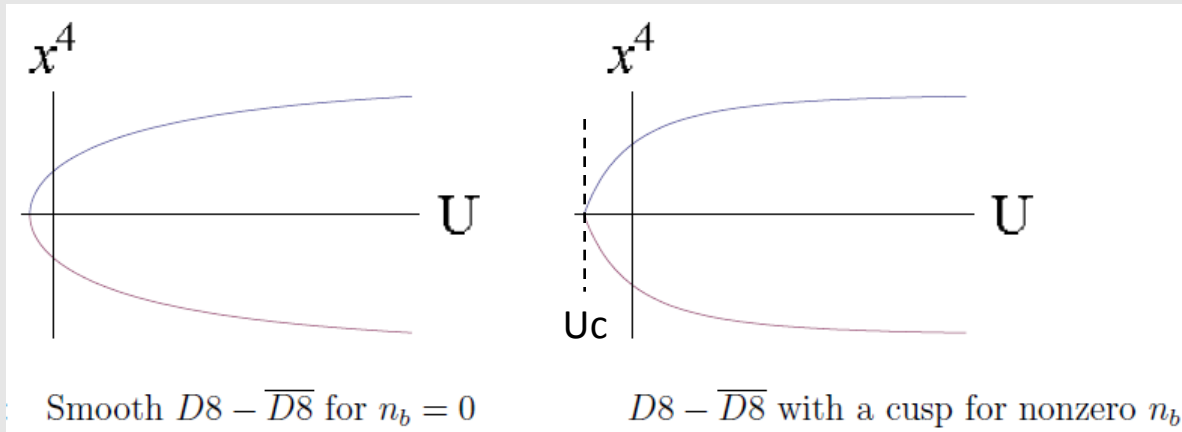
$$\frac{1}{8\pi^2} \text{tr} F^2 = n_4 \delta(U - U_c) d^3 x dU$$

$$S_{CS} = \frac{N_c}{8\pi^2} \int A_0 \wedge \text{Tr}(F^2) = N n_b A_0(U_c)$$

Where n_4 is the instanton number(D4-charges) density, n_b the baryon number density

$$N := \frac{T_8 R^5 N_f V_3 \beta \Omega_4}{g_s}$$

$$n_b := \frac{N_c N_f n_4 V_3 \beta}{2\pi \alpha' R^2 N}$$

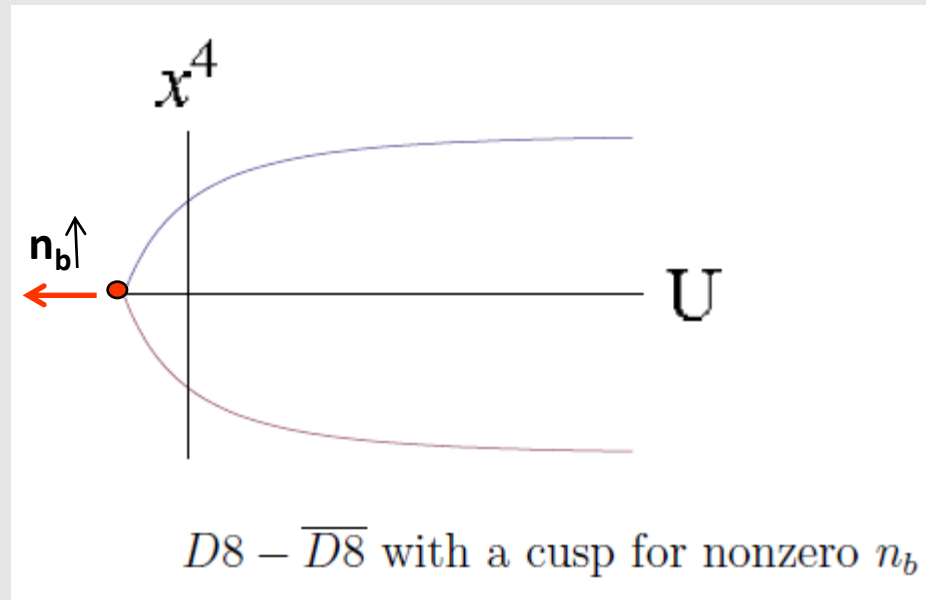


The D8- $\overline{D8}$ develops a cusp due to pulling of the instanton. The cusp angle

$$\cos \theta_c = \frac{n_b^2}{n_b^2 + U_c^5}$$

by the force balance condition.

- Effect of n_b on chiral symmetry restoration in the deconfined geometry



As baryon number density n_b increases (below a critical value), the tension of D4 increase, and the tip of $D8 - \overline{D8}$ is pulled towards the horizon. However if n_b is too large, the joint $D8 - \overline{D8}$ solution doesn't exist in HT phase \Rightarrow QGP

Dynamical Instability

The dual geometries in SS model

- Low temperature phase

$$ds_{D8}^2 = \left(\frac{U}{R}\right)^{\frac{3}{2}} (\eta_{\mu\nu} dx^\mu dx^\nu) + \left(\frac{R}{U}\right)^{\frac{3}{2}} \left(\left(\left(\frac{U}{R}\right)^3 h(U) x_4'^2 + \frac{1}{h(U)}\right) dU^2 + U^2 d\Omega_4^2 \right)$$

$$F_4 = \frac{(2\pi)^3 \ell_s^3 N_c}{\Omega_4} \epsilon_4, \quad e^\phi = g_s \left(\frac{U}{R}\right)^{\frac{3}{4}}, \quad h(U) = 1 - \frac{U_{KK}^3}{U^3}, \quad x_4 \sim x_4 + \frac{4\pi R^{3/2}}{3U_{KK}^{1/2}}$$

- High-temperature phase

$$ds^2 = \left(\frac{U}{R}\right)^{3/2} [-h(U) dt^2 + dx_i^2] + \left(\left(\frac{U}{R}\right)^{3/2} x_4'^2 + \left(\frac{R}{U}\right)^{3/2} \frac{1}{h(U)}\right) dU^2 + \left(\frac{R}{U}\right)^{3/2} U^2 d\Omega_4^2$$

- The total action of D8 is $S_{D8} = S_{DBI} + S_{CS}$

$$h(U) = 1 - \frac{U_T^3}{U^3}$$

$$S_{D8} = -T \int d^9x e^{-\phi} \sqrt{-\det(g_{MN} + 2\pi\alpha' F_{MN})} + S_{CS}$$

$$S_{CS} = \frac{N_c}{24\pi^2} \int_{M_4 \times R_+} A_0 \text{Tr} F^2 = N n_b \int dU \delta(U - U_c) A_0$$

- The e.o.m

Low temperature phase

$$x'_4 = \pm U^{-\frac{3}{2}} \frac{\sqrt{H_0 \sin^2 \theta_c}}{h \sqrt{H - H_0 \sin^2 \theta_c}}, \quad E = -n_b U^{\frac{3}{2}} \frac{1}{\sqrt{H - H_0 \sin^2 \theta_c}} \quad E = -\partial_U A_0 = -A_0'$$

where $H(U) = U^8 h (1 + \frac{n_b^2}{U^5}), H_0 = H(U_c)$ $\cos^2 \theta_c = \frac{n_b^2}{n_b^2 + U_c^5}$

$$L = \int_{U_c}^{\infty} dU x'_4$$

High-temperature phase

$$x'_4 = \pm U^{-3/2} \sqrt{\frac{H_0 \sin^2 \theta_c}{h(H - H_0 \sin^2 \theta_c)}}, \quad E = -n_b U^{3/2} \sqrt{\frac{h}{(H - H_0 \sin^2 \theta_c)}}$$

The differential eqn x'_4 can be solved (numerically) by the **fixed-length condition**

$$L = \int_{U_c}^{\infty} dU x'_4$$

Introducing the chemical potential

According to AdS/CFT prescription, the coupling occurs on the boundary

$$\int d^4x A_\mu(x, U = \infty) j^\mu = \int d^4x A_\mu(x, U = \infty) \bar{\psi} \gamma^\mu \psi$$

- For the 0-th component:

$$\int d^4x A_0(x, U = \infty) j^0 = \int d^4x A_0(x, U = \infty) \rho \quad \rho: \text{baryon number density}$$

The chemical potential is identified as $A_0(x, U \rightarrow \infty)$ after solving A_0 in the e.o.m.

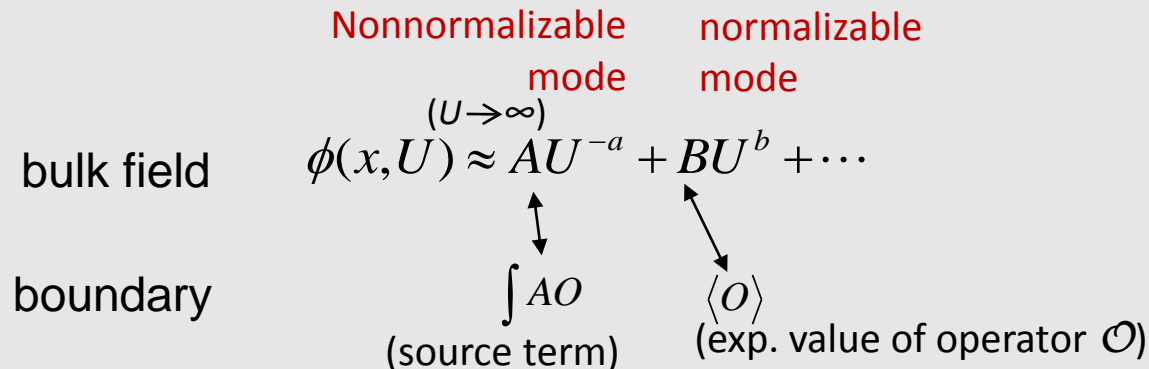
In our case, $\mu = N A_0(\infty)$, where the bulk U(1) gauge field is sourced by the instanton.

- For the j -th component ($j=1,2,3$):

$$\int d^4x A_i(x, U = \infty) j^i = \int d^4x A_i(x, U = \infty) \bar{\psi} \gamma^i \psi$$

$A_i(x, U \rightarrow \infty)$ serves as the source to the current operator j^i on the boundary.

Dictionary of gauge/gravity correspondence



The normalizable mode of A_i correspond to $\langle j^i \rangle$

Looking for Dynamical Instability

Now turn on the **bulk perturbations**:

U(1) gauge field: $\delta A = \{a_0, a_i, a_U\}$

D8-brane embedding function $\delta x_4 = y$

Expand the DBI+CS action upto quadratic order

$$L[A, x_4; a_\mu, a_U, y] = L_0 + L_1 + L_2 + \dots$$

Vanish
due to
eom

Contain 1st
order pert.
but vanish
on shell

gives eom for perturbations
 a_0, a_i, a_U, y
(6 coupled diff eqns)

Dynamical Instability from Chern-Simons coupling

Dynamical instabilities are found in the 3 equations of motions for a_i :
(master equations, derived from L_2)

$$\begin{array}{ccc} -\omega^2 & -k^2 & \text{From Chern-Simons term} \\ \downarrow & \downarrow & \swarrow \\ \left(\frac{U^5 \Delta_q}{L_q} f'_k\right)' + \frac{L_q}{U^3} \left(-\frac{Q}{\Delta_q} \partial_t^2 f_k + \partial_j^2 f_k\right) + 2\kappa \epsilon_{ijk} E \partial_j f_i = 0 \end{array}$$

$$f_i := \frac{1}{2} \epsilon_{ijk} f_{jk}$$

$q = LT$	$L_{LT} := U^4 \sqrt{\frac{U^5}{H - U_c^8 h_0}}$	$\Delta_{LT} := 1$	$\delta_{LT} := h$
$q = HT$	$L_{HT} := U^4 \sqrt{\frac{U^5 h}{H - U_c^8 h_0}}$	$\Delta_{HT} := h$	$\delta_{HT} := 1$

$$\kappa := \frac{n_b}{4\pi^2 n_4} = 288\pi^2 \frac{1}{\lambda^2} \frac{U_{KK}}{R}$$

$$Q := 1 + \frac{n_b^2}{U^5}, \quad h_0 := h(U_c)$$

$$\phi(t, x, U) = e^{-i\omega t + i\mathbf{k} \cdot \mathbf{x}} g(U)$$

Heuristically, take the ansatz for the perturbed fields

$$\phi(t, x, U) = e^{-i\omega t + i\mathbf{k} \cdot \mathbf{x}} g(U)$$

The e.o.m's then take the form of 2nd order ordinary linear (eigenvalue) diff eqns.

$$A_{\mathbf{k}}(U) g''(U) + B_{\mathbf{k}}(U) g'(U) + C_{\mathbf{k}}(U) g(U) = \omega^2 g(U)$$

$$\Rightarrow g(U) \approx m + \nu U^{-\alpha} + \dots$$

Such that

$$\phi(t, x, U) \approx A + BU^{-\alpha} + \dots \quad (U \rightarrow \infty)$$

$$\begin{array}{ccc} \updownarrow & \updownarrow & \\ \int AO & \langle O \rangle & \end{array}$$

We are looking for **normalizable** ($m=0$) **growing mode** such that $B \cong e^{\omega_I t}$

\Rightarrow **spontaneous symmetry breaking** with order parameter $\langle O \rangle$, without the source term for \mathcal{O}

Numerical method: shooting

We look for the unstable mode by starting with the marginal case $\omega^2=0$ (onset of instability), and tune k for a certain value of n_b to “shoot” for the normalizable mode ($m=0$) for both HT and LT phases.

$$g(U) \approx m + \nu U^{-\alpha} + \dots$$

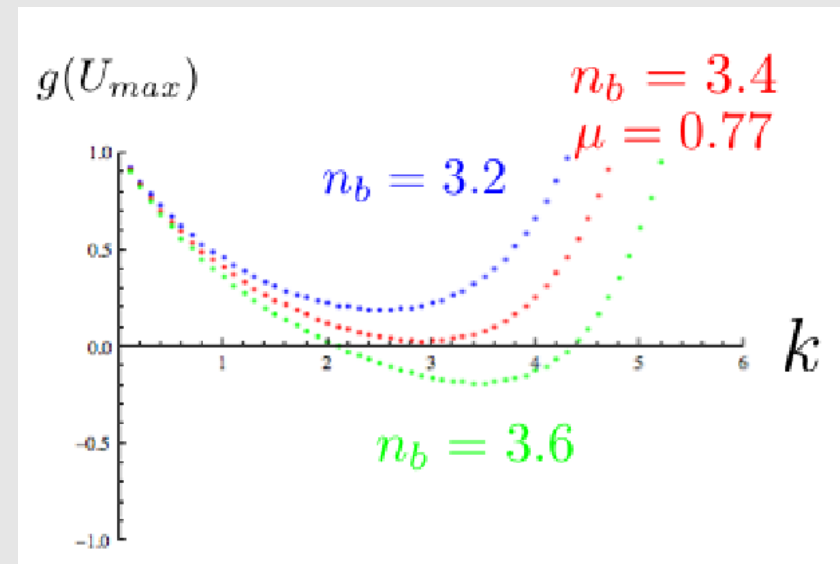
Boundary conditions

At $U \rightarrow \infty$, $m=0$ (normalizable mode)

At $U=U_c$, a_i, a_U : Dirichlet or Neumann

y : Dirichlet (fixing the position of the tip)

a_0 : Neumann (fixing the electric source)



For normalizable unstable modes of a_i :

$$a_i \propto e^{-i\omega t + i\mathbf{k} \cdot \mathbf{x}} \nu U^{-\alpha}$$

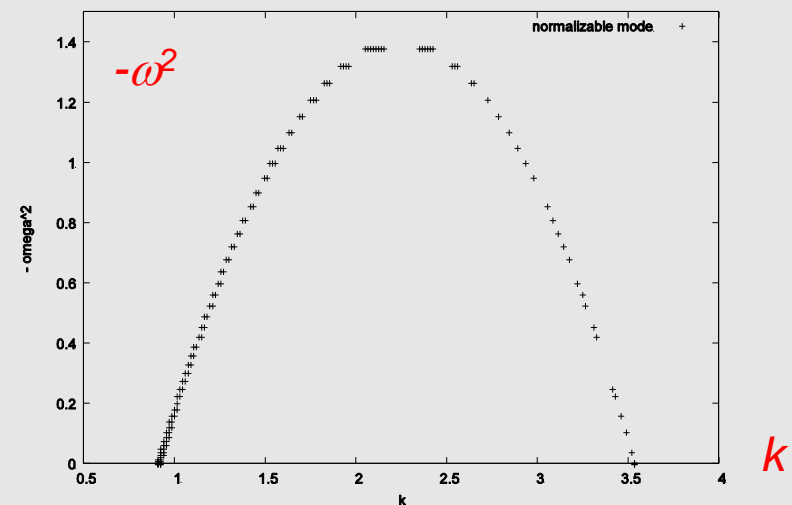


$$\langle J^i \rangle \propto e^{-i\omega t + i\mathbf{k} \cdot \mathbf{x}}$$

unstable for nonzero \mathbf{k} : **Spatially modulated**

Numerical Results

For k within certain range, there is unstable mode, with $\omega^2=0$ being the onset of instability. Thus bulk normalizable growing modes are found in a_i .



Conclusion: Holographic QCD Diagram

