# The Topology in QCD

Ting-Wai Chiu Physics Department, National Taiwan University

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Since the topological excitations do not occur in the perturbation theory, theoretical calculations starting from the QCD Lagrangian necessarily involves non-perturbative methods, such as lattice QCD.

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- (ii) Unquenched simulations with Wilson/staggered fermion do not respect correct chiral or flavor symmetry at finite lattice spacing, and the definition of the topological charge through the Atiyah-Singer index theorem is ambiguous.
- (iii) With the HMC algorithm which is based on a continuous evolution of the gauge links, the system is trapped in a fixed topological sector as the continuum limit is approached. Therefore, a proper sampling of different topological sectors cannot be achieved. (Approaching the chiral

limit, the suppression of the fermion determinant for  $Q \neq 0$  also makes the tunneling a rare event.)

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A plausible solution is to perform QCD simulations in a fixed topological sector and to extract  $\chi_t$  from local topological fluctuations. Then any observable measured at a fixed topological charge can be transcribed to its value in the  $\theta$  vacuum.

Topology Susceptibility in 2-flavor QCD with Fixed Topology (JLQCD-TWQCD, arXiv:0710.1130)

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In the small  $m_q$  regime, our result of  $\chi_t$  is proportional to  $m_q$  as expected from chiral effective theory. Using the formula  $\chi_t = m_q \Sigma/N_f$  by Leutwyler-Smilga, we obtain  $\Sigma^{\overline{\rm MS}}(2~{\rm GeV}) = [252(5)(10){\rm MeV}]^3$ 

#### **Outline**

- Introduction
- Topology with Overlap Dirac Operator
- Lattice Setup
- Results using  $N_f = 2$  Dynamical Overlap Configurations with  $Q_t = 0, -2, -4$
- Conclusion and Outlook

#### Introduction

#### Theoretically, topological susceptibility is defined as

$$\chi_t = \int d^4x \left\langle \rho(x)\rho(0) \right\rangle$$

where

$$\rho(x) = \frac{1}{32\pi^2} \epsilon_{\mu\nu\lambda\sigma} \operatorname{tr}[F_{\mu\nu}(x) F_{\lambda\sigma}(x)]$$

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Leutwyler-Smilga relation

$$\chi_t = \frac{m_q \Sigma}{N_f} + \mathcal{O}(m_q^2)$$
 (in the chiral limit)

For lattice QCD with fixed topology in a finite volume,  $\chi_t$  is the most crucial quantity which is used to relate any observable measured in the fixed topology to its physical value.

Brower, Chandrasekaran, Negele, Wiese, PLB 560 (2003) 64

Aoki, Fukaya, Hashimoto, Onogi, PRD 76 (2007) 054508

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In other words, the artifacts due to fixed topology can be removed, provided that  $\chi_t$  has been determined.

Since

$$\chi_t = \int d^4x \left\langle \rho(x)\rho(0) \right\rangle = \frac{1}{\Omega} \left\langle Q_t^2 \right\rangle, \ \Omega = \text{volume}$$

where

$$Q_t = \int d^4x \frac{1}{32\pi^2} \epsilon_{\mu\nu\lambda\sigma} \text{tr}[F_{\mu\nu}(x)F_{\lambda\sigma}(x)] = \text{integer}$$

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one can obtain  $\chi_t$  by counting the number of gauge configurations for each topological sector.

However, for a set of gauge configurations in the topologically-trivial sector,  $Q_t = 0$ , it gives  $\chi_t = 0$ 

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Thus, one can investigate whether there are topological excitations within any sub-volumes, and to measure the topological susceptibility using the correlation of the topological charges of two sub-volumes.

For any topological sector with  $Q_t$ , using  $\chi$ PT, it can be shown that

$$\lim_{|x-y|\to\infty} \langle \rho(x)\rho(y)\rangle = \frac{1}{\Omega} \left( \frac{Q_t^2}{\Omega} - \chi_t - \frac{c_4}{2\chi_t\Omega} \right) + \mathcal{O}(\Omega^{-3})$$

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Thus, in the trivial sector with  $Q_t = 0$ , for any two widely separated sub-volumes  $\Omega_1$  and  $\Omega_2$ , the correlation of their topological charges would behave as

$$\langle Q_1 Q_2 \rangle \simeq -\frac{\Omega_1 \Omega_2}{\Omega} \left( \chi_t + \frac{c_4}{2\chi_t \Omega} \right) \qquad Q_i = \int_{\Omega_i} d^4 x \; \rho(x)$$

On a finite lattice, consider two spatial sub-volumes at time slices  $t_1$  and  $t_2$ , measure the time-correlation function

$$C(t_1 - t_2) = \langle Q(t_1)Q(t_2)\rangle = \sum_{\vec{x_1},\vec{x_2}} \langle \rho(x_1)\rho(x_2)\rangle$$

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Then its plateau at large  $|t_1 - t_2|$  can be used to extract  $\chi_t$  provided that

$$|c_4| \ll 2\chi_t^2 \Omega, \quad c_4 = -\frac{1}{\Omega} \left[ \langle Q_t^4 \rangle_{\theta=0} - 3\langle Q_t^2 \rangle_{\theta=0}^2 \right]$$

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However, on a lattice, it is difficult to extract  $\rho(x)$  unambiguously from the link variables!

It is well known that the topological charge density can be defined via the overlap Dirac operator as

$$\rho(x) = \text{tr}[\gamma_5(1 - rD)_{x,x}], \quad r = \frac{1}{2m_0}$$

where D is the overlap Dirac operator

$$D = m_0(1+V), \quad V = \gamma_5 \frac{H_w}{\sqrt{H_w^2}},$$

$$H_w = \gamma_5(-m_0 + \gamma_\mu t_\mu + W)$$

Here  $\rho(x) = \text{tr}[\gamma_5(1 - rD)_{x,x}]$  is justified to be a definition of topological charge density since it has been asserted (Kikukawa & Yamada, 1998)

$$\rho(x) \xrightarrow{a \to 0} \frac{1}{32\pi^2} \epsilon_{\mu\nu\lambda\sigma} \operatorname{tr}[F_{\mu\nu}(x)F_{\lambda\sigma}(x)]$$

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Note that the index theorem on the lattice

$$index(D) = n_{+} - n_{-} = \sum_{x} \rho(x) = Q_{t}$$

had been observed by Narayanan and Neuberger in 1995, using the spectral flow of  $H_w(m_0)$ , before the Ginsparg-Wilson relation was rejuvenated in 1998.

It seems natural to use  $\rho(x) = \text{tr}[\gamma_5(1-rD)_{x,x}]$  to compute the topological susceptibility

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On the other hand, one can derive the relation

index
$$(D) = m \sum_{x} \text{tr}[\gamma_5(D_c + m)_{x,x}^{-1}] = m \text{Tr}[\gamma_5(D_c + m)^{-1}]$$

where

$$D_c = D(1-rD)^{-1} = 2m_0(1+V)(1-V)^{-1}$$

is chirally symmetric but non-local (Chiu & Zenkin, 1998). Note that for the topologically-trivial configurations,  $D_c$  is well-defined (without any poles).

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Obviously, the identity  $index(D) = m \operatorname{Tr}[\gamma_5(D_c + m)^{-1}]$  can be generalized to

index
$$(D) = m_1 m_2 \cdots m_k \text{Tr}[\gamma_5 (D_c + m_1)^{-1} (D_c + m_2)^{-1} \cdots (D_c + m_k)^{-1}]$$

with the generalized topological charge density

$$\rho_k(x) = m_1 m_2 \cdots m_k \operatorname{tr} [\gamma_5 (D_c + m_1)^{-1} (D_c + m_2)^{-1} \cdots (D_c + m_k)^{-1}]_{x,x}$$

Presumably, any  $\rho_k$  can be used to compute  $\chi_t$ . In general,

$$\chi_t = \frac{m_1 \cdots m_k m_{k+1} \cdots m_l}{\Omega} \langle \text{Tr}[\gamma_5 (D_c + m_1)^{-1} \cdots (D_c + m_k)^{-1}] \times \text{Tr}[\gamma_5 (D_c + m_{k+1})^{-1} \cdots (D_c + m_l)^{-1}] \rangle$$

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It has been pointed out by Lüscher, for  $k \geq 2$  and  $l \geq 5$ ,  $\chi_t$  avoids the short-distance singularities in the continuum limit.

However, on a finite lattice,

$$\lim_{|x-y|\gg 1} \langle \rho_1(x)\rho_1(y)\rangle \simeq \frac{1}{\Omega} \left( \frac{Q_t^2}{\Omega} - \chi_t - \frac{c_4}{2\chi_t\Omega} \right) + \mathcal{O}(e^{-m_\pi|x-y|}) + \mathcal{O}(e^{-m_{\eta'}|x-y|}) + \mathcal{O}(\Omega^{-3}) + \cdots$$

is contaminated by  $m_{\pi}$ ,  $m_{\eta'}$ ,  $\cdots$ , which can couple to  $\langle \rho_1(x)\rho_1(y)\rangle$ .

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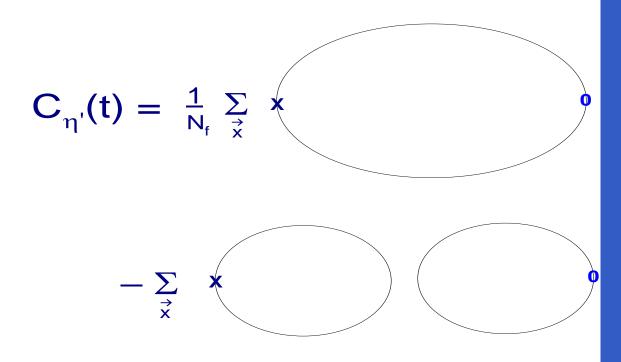
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A better alternative is to compute the correlator of flavor-singlet  $\eta'$ , which behaves as

$$\lim_{|x-y|\gg 1} m_q^2 \langle \eta'(x)\eta'(y) \rangle \simeq \frac{1}{\Omega} \left( \frac{Q_t^2}{\Omega} - \chi_t - \frac{c_4}{2\chi_t \Omega} \right) + \mathcal{O}(e^{-m_{\eta'}|x-y|}) + \mathcal{O}(\Omega^{-3}) + \cdots$$

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### Time-Correlation Function of $\eta'$



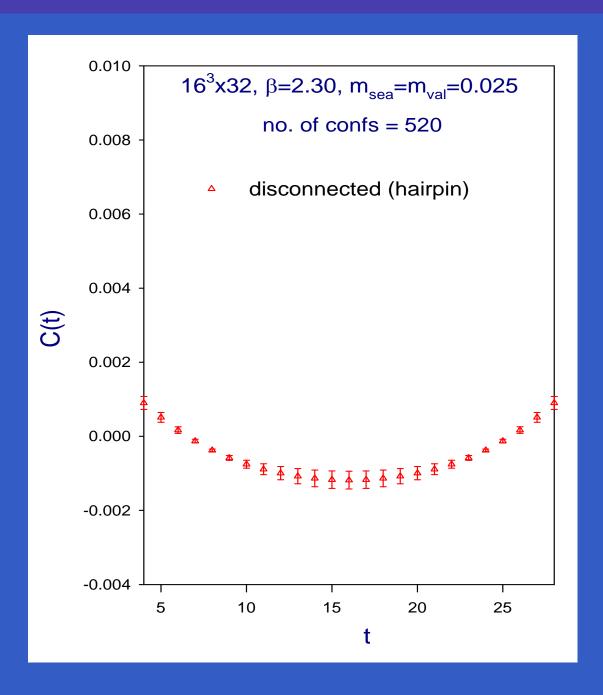
### Lattice Setup

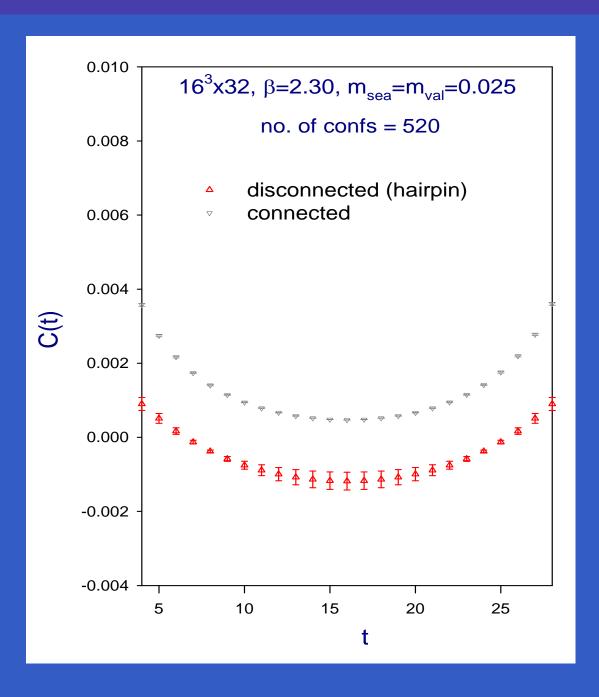
- Lattice size:  $16^3 \times 32$
- Gluons: Iwasaki gauge action at  $\beta=2.30$
- Quarks ( $N_f=2$ ): overlap Dirac operator with  $m_0=1.6$
- Add extra Wilson fermions and pseudofermions

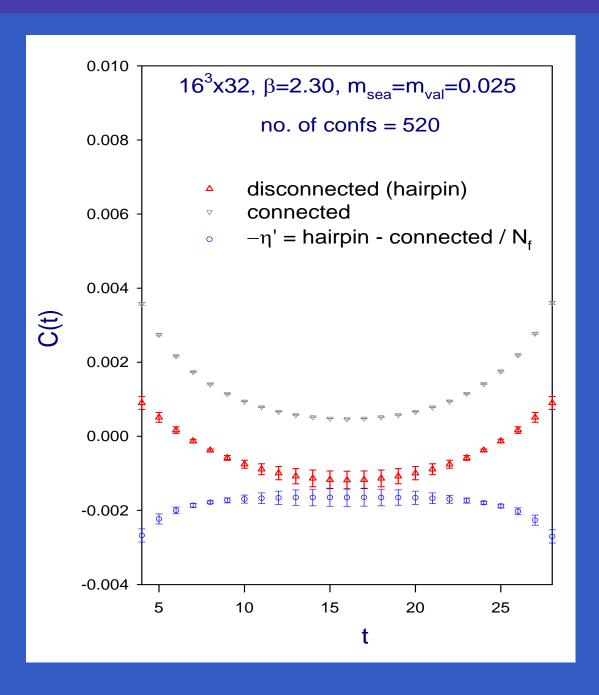
$$\det(H_{ov}^2) \longrightarrow \det(H_{ov}^2) \frac{\det(H_w^2)}{\det(H_w^2 + \mu^2)}, \quad \mu = 0.2$$

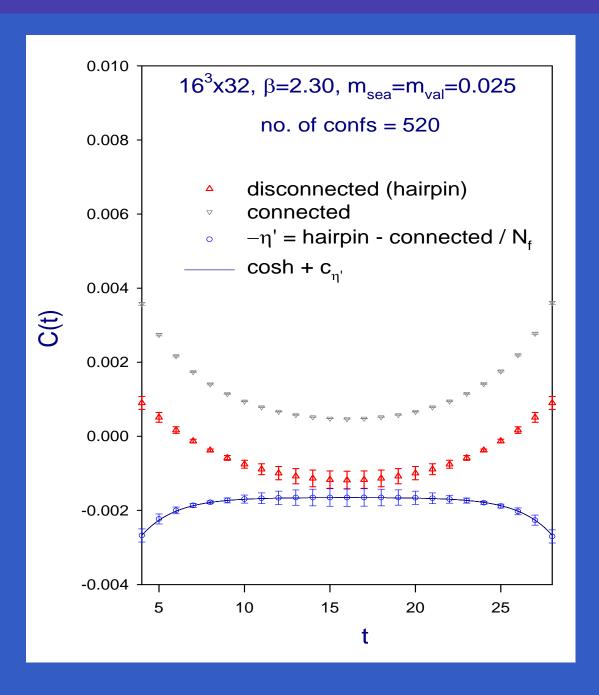
to forbid  $\lambda(H_w)$  crossing zero, thus  $Q_t$  is invariant.

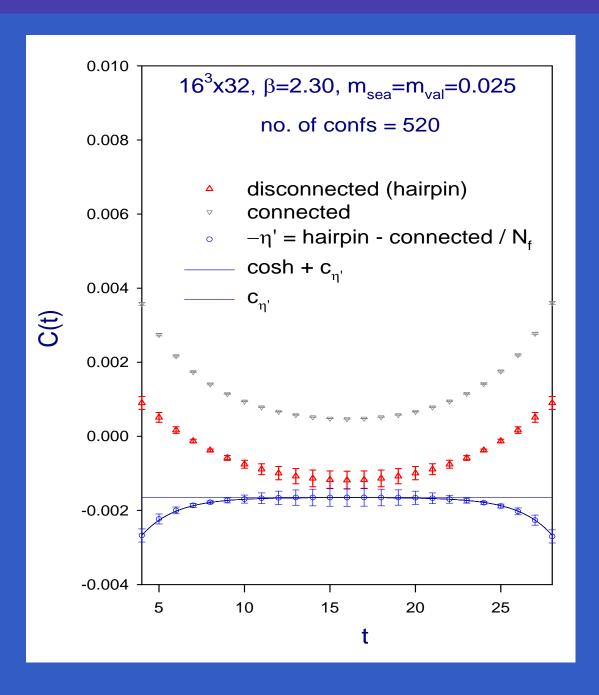
- Quark masses:  $m_{sea}$  = 0.015, 0.025, 0.035, 0.050, 0.070, 0.100, each of 500 confs with  $Q_t = 0$ . For  $m_{sea}$  = 0.05, 250 confs with  $Q_t = -2, -4$  respectively.
- For each configuration, 50 conjugate pairs of low-lying eigenmodes of overlap Dirac operator are projected.

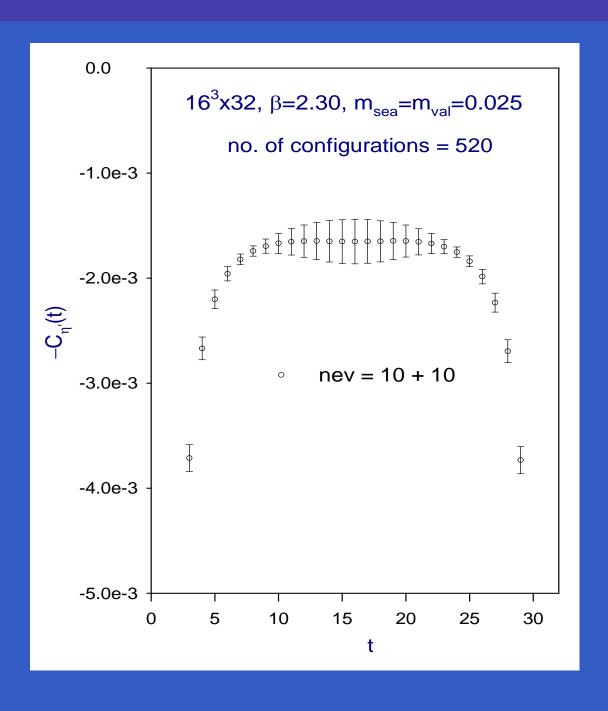


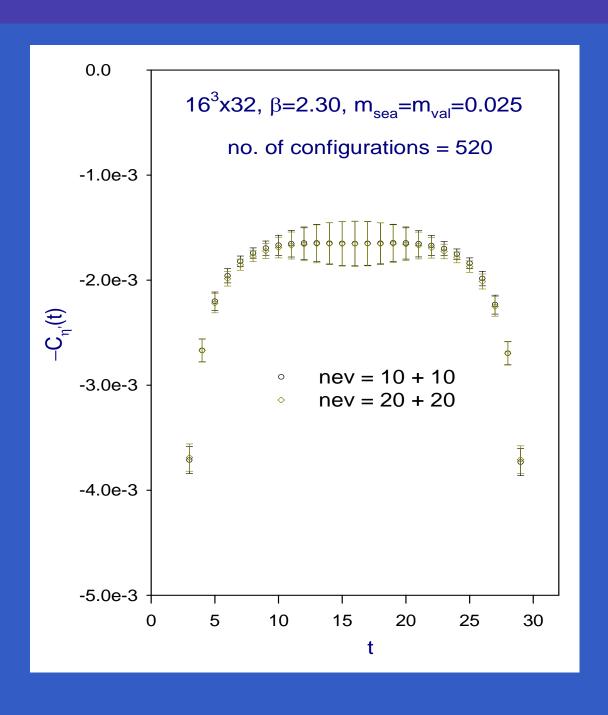


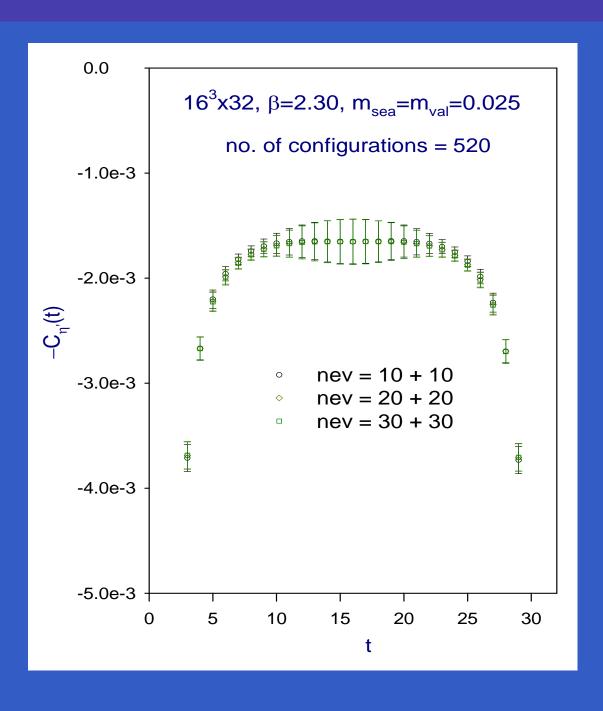


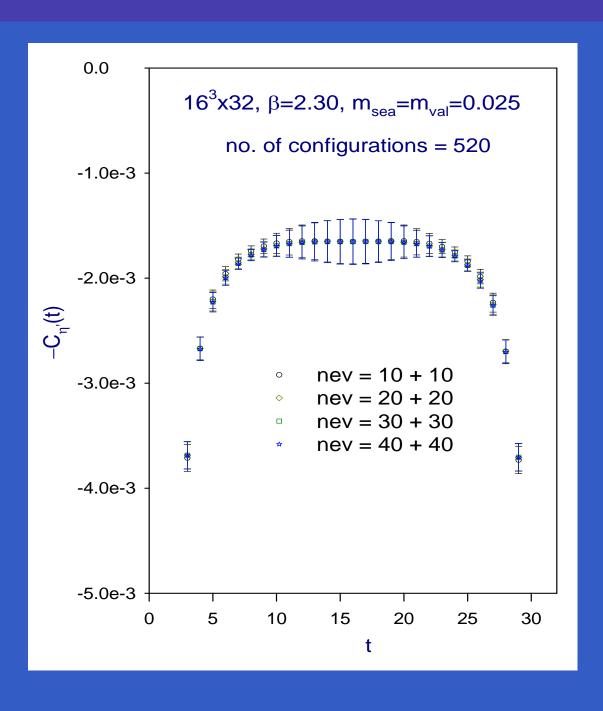


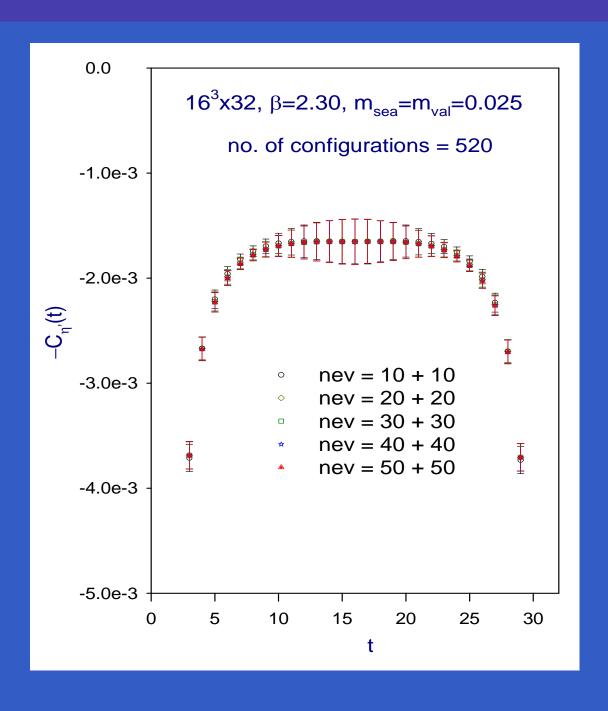




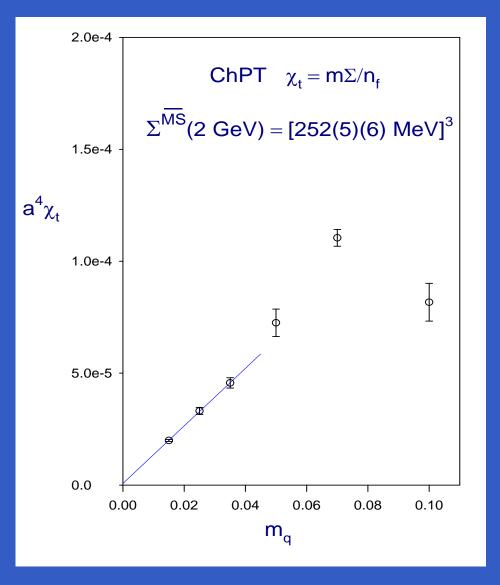








## Realization of Leutwyler-Smilga relation



In the limit  $m \to 0$ ,  $\chi_t \to m\Sigma/N_f$ , in agreement with ChPT.

#### Determination of $\Sigma$

From the slope of the linear fit of  $\chi_t$  vs.  $m_q$  for  $m_q a = 0.015, 0.025$ , and 0.035, it gives

$$a^3\Sigma = 0.00257(10)$$

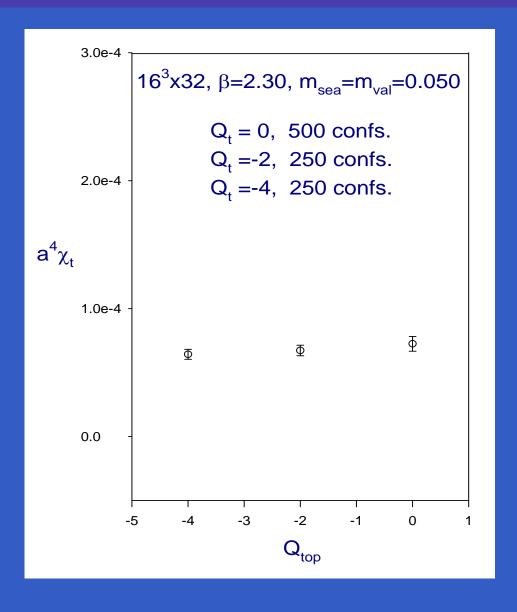
With  $a^{-1}=1670(20)(20)$  MeV, and  $Z_m^{\overline{\text{MS}}}(2 \text{ GeV})=0.742(12)$ , the value of  $a^3\Sigma$  is transcribed to

$$\Sigma^{\overline{MS}}(2 \text{ GeV}) = (252 \pm 5 \pm 10 \text{ MeV})^3$$

in good agreement with our previous result 251(7)(11) MeV obtained in the  $\epsilon$ -regime.

H. Fukaya et al. (JLQCD-TWQCD) PRL 98 (2007) 172001; PRD 76 (2007) 054503

## Universality of $\chi_t$ for different Topological Sectors



For the topologically-trivial gauge configurations generated with  $N_f=2$  dynamical overlap quarks constrainted by extra Wilson and pseudofermions, they possess topologically non-trivial excitations (e.g., instanton and anti-instanton pairs) in sub-volumes.

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- For  $m_{sea}=0.05$ ,  $\chi_t$  extracted from different topological sectors  $(Q_t=0,-2,-4)$  are consistent with each other.
- It remains to obtain an upper bound of  $c_4$  (from 2-pt and 4-pt correl. fn.) to see whether  $|c_4| \ll 2\chi_t^2\Omega$  is satisfied.